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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No.: 6,902,313  
Ser. No.: 09/923,477  
Filed: 08/07/2001  
Issued: 06/07/2005  
Title: MICRO CHAOTIC MIXER  
Inventor: HO, CHIH-MING  
Docket No.: UCLA 2000-501-2  
Conf. No.: 1523

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MAR 10 2009

OFFICE OF PETITIONS

Certificate of Mailing (37 CFR 1.8)

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Patent and Trademark Office  
Attn: Maintenance Fee  
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Alexandria, VA 22314

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John P. O'Banion  
(name)

(signature)

(date)

PAYMENT OF FEE DEFICIENCY

Dear Sir:

On January 22, 2009, we notified the USPTO of loss of small entity status and attempted to pay the fee deficiency using a deposit account. We were subsequently advised by the USPTO that it would not accept payment by deposit account and required a check. Therefore, we are enclosing a check in the amount of \$620 and resubmitting the requisite papers.

Dated: 02/27/2009.

Respectfully submitted,

03/05/2009 DALLEN 00000044 6902313

01 FC:1559

620.00 DP

John P. O'Banion, Reg. No. 33,201  
O'BANION & RITCHEY LLP  
400 Capitol Mall, Suite 1550  
Sacramento, CA 95814  
(916) 498-1010

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\_\_\_\_\_  
John P. O'Banion  
(name)

\_\_\_\_\_  
(signature)

\_\_\_\_\_  
(date)

**NOTIFICATION OF LOSS OF SMALL ENTITY STATUS  
37 CFR 1.27(g)(2)**

**PAYMENT OF FEE DEFICIENCY**

Dear Sir:

Small entity status is no longer appropriate for the above-identified U.S. Patent.

The maintenance fees paid on 12/08/2008 as a small entity (\$490) should have been paid as a large entity (\$980). Therefore, the amount currently due is \$980, minus \$490 previously paid, plus \$130 late payment surcharge; namely, \$620.

The undersigned is not the attorney of record but is authorized by the assignee to file this notification under 37 CFR 1.33(b) and pay the fee deficiency.

Dated: 02/27/2009.

Respectfully submitted,

\_\_\_\_\_  
John P. O'Banion, Reg. No. 33,201  
O'BANION & RITCHEY LLP  
400 Capitol Mall, Suite 1550  
Sacramento, CA 95814  
(916) 498-1010

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**MAINTENANCE FEE TRANSMITTAL FORM****(Do not submit this form electronically via EFS-Web)****Address to:**Director of the United States  
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- OR -

Fax to: 571-273-6500

Enclosed herewith is the payment of the maintenance fee(s) for the listed patent(s).

- ☒ A check for the amount of \$ 620 for the full payment of the maintenance fee(s) and any necessary surcharge is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is enclosed.
- ☐ The Director is hereby authorized to charge \$ \_\_\_\_\_ to cover the payment of the fee(s) indicated below to Deposit Account No. \_\_\_\_\_.
- ☐ The Director is hereby authorized to charge any deficiency in the payment of the required fee(s) or credit any overpayment to Deposit Account No. 07-1137.

\* Information required by 37 CFR 1.366(c) (columns 1 &amp; 2). Information requested under 37 CFR 1.366(d) (columns 3, 4, &amp; 5).

Item	Patent Number*	U.S. Application Number* [e.g., 06/555,555]	Maintenance Fee Amount (37 CFR 1.20(e)-(g))	Surcharge Amount (37 CFR 1.20(h))	Payment Year (select one below)		
					Column 5		
	Column 1	Column 2	Column 3	Column 4	3.5 yrs	7.5 yrs	11.5 yrs
1	6,902,313	09/923,477	980	130	<input checked="" type="checkbox"/>		
2			-490 paid				
3							
4							
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Subtotals: Columns 3 &amp; 4

Total Payment

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☐ \_\_\_\_\_ additional sheets attached for listing additional patents.**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on Form PTO-2038.**

Respectfully submitted, \*\*

Customer's Signature [Signature]Customer's Name John P. O'BanionRegistration Number, if applicable: 33201Telephone: 916-498-1010Fax: 916-498-1074

Note: All correspondence will be forwarded to the "Fee Address" or to the "Correspondence Address" if no "Fee Address" has been provided. See 37 CFR 1.363.

Payment of small entity fee is appropriate if small entity status still exists, see 37 CFR 1.27(g). To establish small entity status or to change status from small to large entity, a written assertion is required. See 37 CFR 1.27 and 1.33(b).

\*\* WHERE MAINTENANCE FEE PAYMENTS ARE TO BE MADE BY AUTHORIZATION TO CHARGE A DEPOSIT ACCOUNT, BOTH THE NAME AND SIGNATURE OF AN AUTHORIZED USER ARE REQUIRED.

This collection of information is required by 37 CFR 1.366. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 5 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA. 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Director of the United States Patent and Trademark Office, Attn: Maintenance Fee, 2051 Jamieson Avenue, Suite 300, Alexandria, VA 22314.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.